

INFOVERANSTALTUNG FÜR POSTDOKTORANDINNEN UND -DOKTORANDEN

#### TAG DER FORSCHUNGS-FÖRDERUNG 20. MÄRZ 2018



#### **WELCOME**



#### Next up:

DFG – Deutsche Forschungsgemeinschaft (German Research Foundation)



#### **DFG Funding Opportunities**

**For Early Career Researchers** 

Dr. Anna Böhme | German Research Foundation (DFG)

Hamburg University | 20/03/2018



#### **Topics**

- 1 | The DFG About Us
- 2 | DFG Funding Opportunities
- 3 | Advice for Applicants
- 4 | Links and Resources



## The DFG – About Us Our Organisation

The German Research Foundation (DFG) is the largest independent research funding organisation in Germany.

It serves all branches of science and the humanities by funding research projects.

The DFG budget totalled over € 3 billion in 2016.





#### The DFG – About Us Our Mandate

The DFG facilitates national and international **COOperation** among researchers.

It provides scientific policy advice.

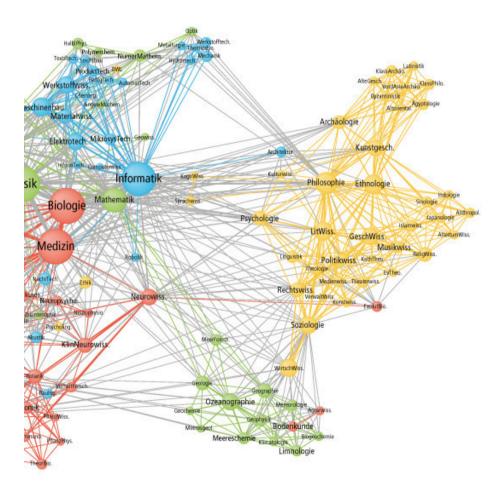
The DFG promotes **gender equality** in the German scientific and academic communities.

It encourages the advancement and training of early-career researchers.



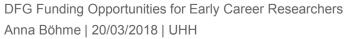


# **The DFG – About Us**Funded Projects



In 2016, the DFG funded over 31,000 projects

- ► Individual research projects
- ► Research collaborations
- ► Research infrastructure
- ► Coordinated programmes





## The DFG – About Us Funding Principles

The DFG funds **basic research** in any field in the science and the humanities.

Choice of research topics follows an Open, bottom-up principle.

There are no submission deadlines.\*

Proposals are evaluated in a multi-level **Peer-review** process.

Individual career phases and family-related career breaks are considered in the review process.



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<sup>\*</sup> Exceptions: Priority Programmes, international activities, workshops for early career investigators

# The DFG – About Us Eligibility



Researchers are eligible to submit proposals to the DFG

- once they have completed their scientific training, i.e. who hold a doctorate and
- ▶ who would like to work in Germany
- ▶ of any age.



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**Achieving** Preparing for a Professorship or **Postdoc BA/MA** eligibility for scientific mgmt. **Doctorate** other scientific period position mgmt. position tenure **Professorship Emmy Noether** Heisenberg in a (Clinical) **Programme Programme** Research Unit **Individual Grants Individual Grants Individual Grants Programme Programme Programme Position or** Research Research Research Student assistant fellowship fellowship fellowship fellowship in an RTG/GSC in an RTG/GSC abroad abroad abroad **Position Position** Student assistant **Position Position** in a DFG project in a DFG project in a DFG project in a DFG project in a DFG project



**Achieving** Preparing for a **Postdoc** eligibility for scientific mgmt. period position tenure **Emmy Noether** Heisenberg **Programme Programme Individual Grants Individual Grants Individual Grants Programme Programme Programme** Research Research Research fellowship fellowship fellowship abroad abroad abroad **Position** Position **Position** in a DFG project in a DFG project in a DFG project



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#### **DFG Funding Opportunities**Working in a DFG-funded project

#### Over thousand positions for early career researchers:

- Individual projects (Einzelförderung, Sachbeihilfe)
- ► Research Units (*Forschungsgruppen*)
- Priority Programmes (Schwerpunktprogramme)
- Collaborative Research Centres (Sonderforschungsbereiche)
- Research Training Groups (Graduiertenkollegs)



#### **DFG Funding Opportunities**Working in a DFG-funded project

- Positions for doctoral researchers and postdocs
- ► At a German university or research institute
- **▶ Duration** of funding depends on project
- ► Position (E13-E14 TvöD/TV-L)
- ► Apply with the project's PI





**Achieving** Preparing for a **Postdoc** eligibility for scientific mgmt. period position tenure **Emmy Noether** Heisenberg **Programme Programme Individual Grants Individual Grants Individual Grants Programme Programme Programme** Research Research Research fellowship fellowship fellowship abroad abroad abroad **Position Position** Position in a DFG project in a DFG project in a DFG project



#### **DFG Funding Opportunities**Research fellowships (*Forschungsstipendien*)

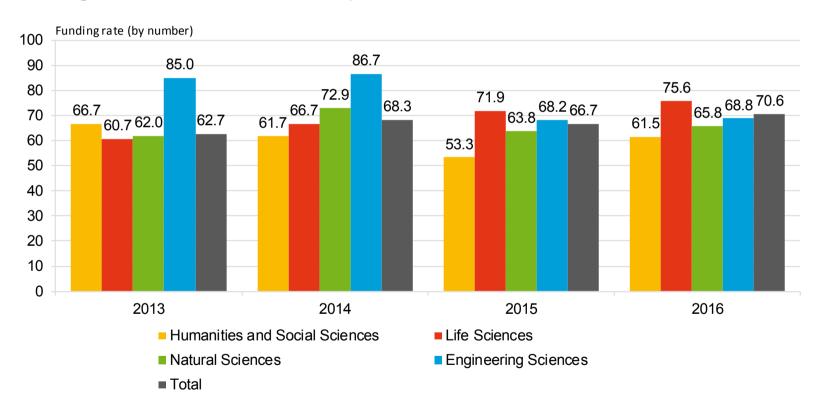
- ► Fellowships for **postdoctoral** researchers for a research project **abroad**
- ▶ Duration of max. 2 years
- ► Possibility of max. 6-months return grant
- ► Basic fellowship allowance = €1,750
  - + direct project costs
  - + foreign allowance
  - + cost of living allowance
  - + child allowance (where applicable)
  - + travel allowance
  - → award calculator at DFG website
- ► Proposal to the DFG





#### **DFG Funding Opportunities**Research fellowships (*Forschungsstipendien*)

#### Funding rates for research fellowships\*



<sup>\*</sup> not including return grants



**Achieving** Preparing for a Professorship or **Postdoc** eligibility for scientific mgmt. other scientific period position mgmt. position tenure **Professorship Emmy Noether** Heisenberg in a (Clinical) **Programme Programme** Research Unit **Individual Grants Individual Grants Individual Grants Programme Programme Programme** Research Research Research fellowship fellowship fellowship abroad abroad abroad **Position Position** Position in a DFG project in a DFG project in a DFG project



## **DFG Funding Opportunities**Individual Grants Programme (*Sachbeihilfe*)

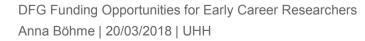
- ▶ Research Grants offer an opportunity to pursue your research project in Germany
- **▶ Duration** for up to 3 years
- ➤ Allows **flexibility**: Funding for staff, consumables, travel, instrumentation, publication funding etc.
- ➤ Additional module: Temporary position for Principal Investigators (*Eigene Stelle*)







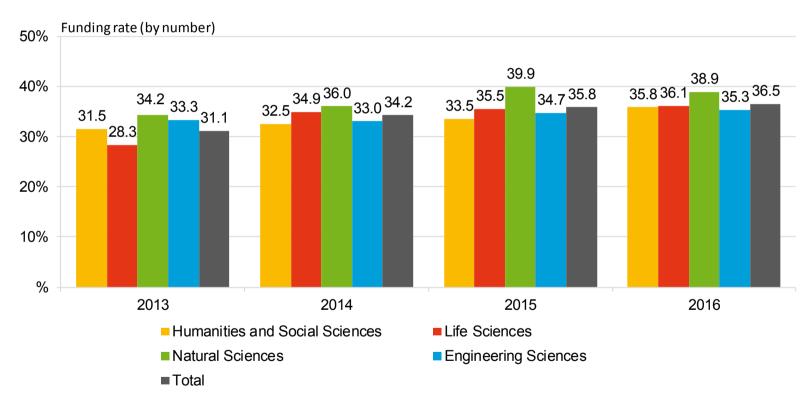






## **DFG Funding Opportunities**Individual Grants Programme

#### Funding rates\* in the individual grants programme by scientific discipline 2013 to 2016 (in %)



<sup>\*</sup> The funding rate (or success rate) was calculated by dividing the number of awards by the number of proposals reviewed.

Source: New Individual grants (incl. Individual proposals, research fellowships, the Emmy Noether Programme, the Heisenberg Programme and Reinhart Koselleck projects)



**Achieving** Preparing for a Professorship or **Postdoc** eligibility for scientific mgmt. other scientific period position mgmt. position tenure **Professorship Emmy Noether** Heisenberg in a (Clinical) **Programme Programme** Research Unit **Individual Grants Individual Grants Individual Grants Programme Programme Programme** Research Research Research fellowship fellowship fellowship abroad abroad abroad **Position Position** Position in a DFG project in a DFG project in a DFG project





#### **Aims**

- ▶ Path to early academic independence by leading your own independent junior research group
- ► Eligibility for professorial appointment

#### Requirements

- For excellently qualified postdocs with an outstanding research project
- ➤ Postdoctoral **research experience** of at least 2 and max. 4 years (medicine: up to 6 years), +2 years per child
- Substantial international research experience





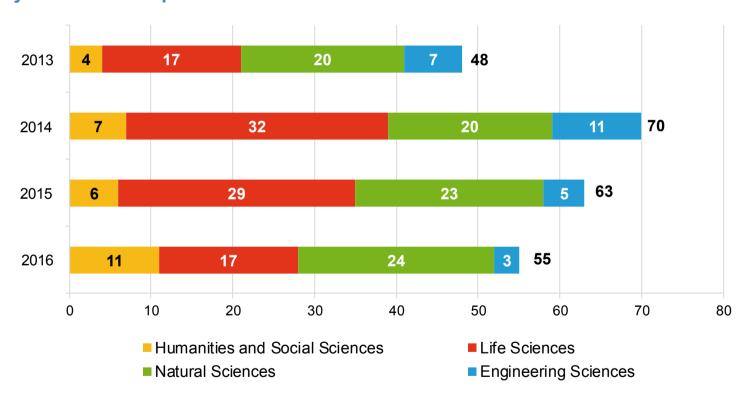
#### **Funding**

- generally six years
- ▶ includes your group leader position (E15 TVöD/TV-L), positions for staff, consumables, travel, instrumentation, publication funding etc.
- ➤ Your host institution in Germany becomes your employer → you can negotiate with several universities or research institutes
  - → Independence





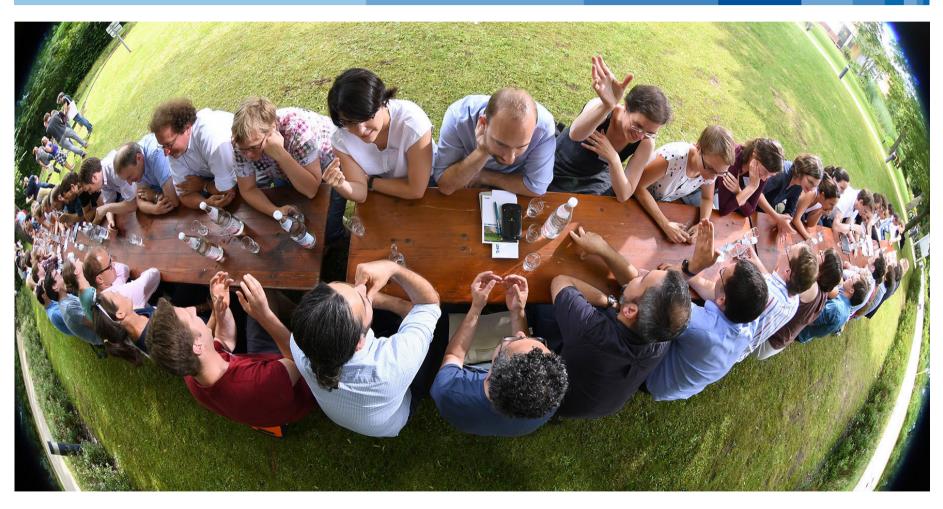
#### Number of new Emmy Noether independent junior research groups by scientific discipline 2013 to 2016

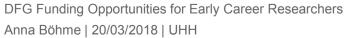




# **DFG Funding Opportunities**Emmy Noether annual meeting









#### **DFG Funding Opportunities**

#### Study Forschungsförderung und Karrierewege (2017)



#### **Study about DFG funding instruments and career paths**

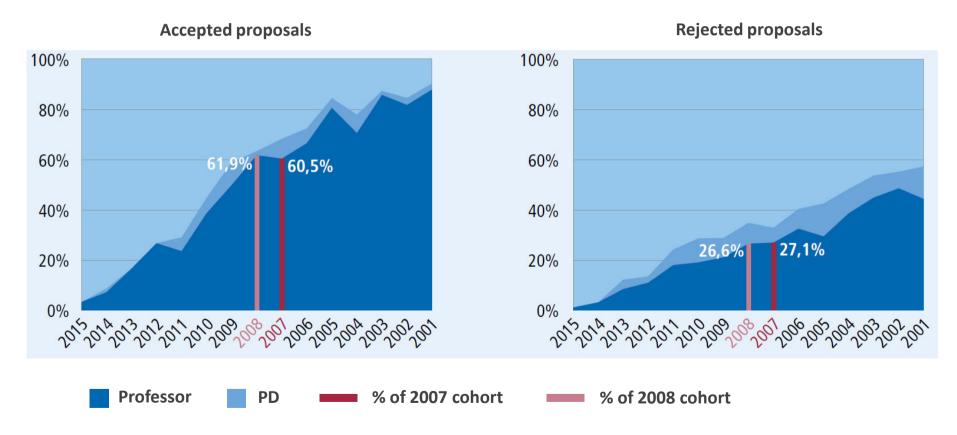
- ➤ Compares the career paths of more than one thousand applicants in 2007 and 2008 in funding programmes relevant to early-career researchers
- Short version ("DFG infobrief") available in English







#### Title analysis of cohorts 2001-2015 by accepted and rejected proposals





**Achieving** Preparing for a **Postdoc** eligibility for scientific mgmt. period position tenure **Emmy Noether** Heisenberg **Programme Programme Individual Grants Individual Grants Individual Grants Programme Programme Programme** Research Research Research fellowship fellowship fellowship abroad abroad abroad **Position Position** Position in a DFG project in a DFG project in a DFG project



## **DFG Funding Opportunities**Heisenberg Programme



- ► For researchers eligible for **professorial appointment**
- ▶ **Duration** of max. 5 years
- Flexible type of funding
  - Fellowship 4,450 Euro/month
  - Position E15 TVöD/TV-L
  - Temporary Substitutes for clinicians
  - Professorship W2/W3 → host university needs to establish permanent professorship
- Flexible research funds: € 1,000 p.m.
- ► Fellowship and positions may be combined with research grant proposal

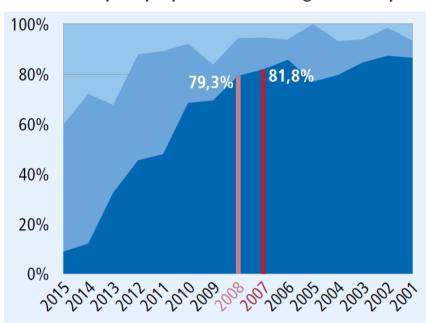


## **DFG Funding Opportunities**Heisenberg Programme

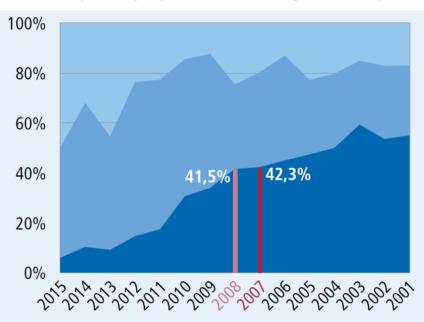


#### Title analysis of cohorts 2001-2015 by accepted and rejected proposals

#### **Accepted proposals Heisenberg Fellowship**



#### Rejected proposals Heisenberg Fellowship



Professor PD % of 2007 cohort % of 2008 cohort



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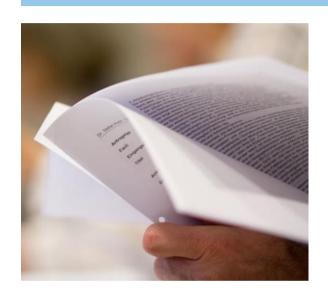
# **Advice for Applicants**Preparing a Proposal

- Carefully review and follow the guidelines and proposal instructions
  - → Relevant information can be found on the DFG website
- ► Contact us if you have any questions
- ► Put yourself in the reviewers' shoes
- ► Seek honest criticism from colleagues
- Start with smaller projects
- ➤ Plan a feasible timeline: On average, the time to decision is over six months





# **Advice for Applicants**Preparing a Proposal



- Decide on the proposal language: English or German
- Inform DFG Head Office in case a conflict of interest or competitive scenario exists
- Communicate openly with DFG Head Office staff



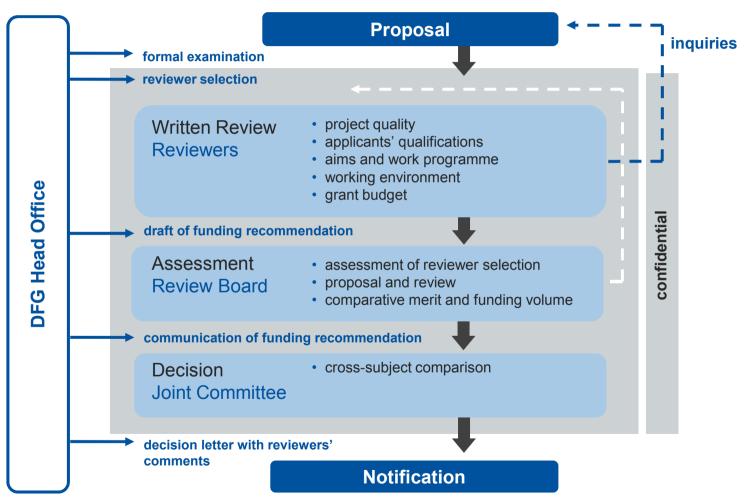
# **Advice for Applicants**Preparing Your CV

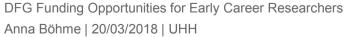
- Structure it clearly
- Include prizes, fellowships and awards
- Include time away from research (relating to family or illness, dependent care, etc.)
- List up to ten of your most important publications





## Proposal and Review Process In the Individual Grants Programme







### **Proposal and Review Process**

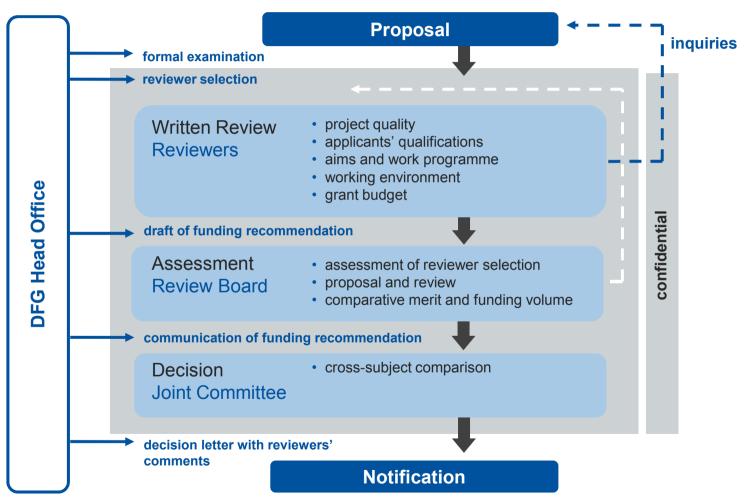
Review Boards (Fachkollegien)

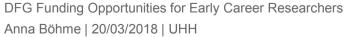






## Proposal and Review Process In the Individual Grants Programme







## Advice for Applicants If at first you don't succeed...

- Don't be discouraged
- Take reviewer comments seriously but not personally
- Consult the DFG Head Office if you need advice or feel that you have been treated unfairly
- Read through reviewer comments carefully they might give you some pointers for reworking your proposal





## Advice for Applicants Research Career

- Develop your own and independent research profile
- Publish independently (if possible)
- Be flexible find the best environment for your research
- Build networks of collaboration partners
- Take advantage of advice and information services





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## **Links and Resources DFG**



- Early career grant programmes at a glance: www.dfg.de/research\_careers
- Funding programmes in detail: www.dfg.de/en/research\_funding/programmes
- ▶ DFG contacts: www.dfg.de/en/dfg\_profile/head\_office
- ▶ DFG project database GEPRIS: gepris.dfg.de/en
- ▶ DFG research careers team: info-nachwuchs@dfg.de

**Dr. Anna Böhme**DFG Research Careers Team anna.boehme@dfg.de
+49-228-885-2509



## Next up:

Forschungsförderung des Bundes (research funding of the federal government)





### Research Funding of the Federal Government

Anja Ruhland Day of Research Funding, 20 March 2018, Hamburg





# Your guide to research and innovation funding

- First contact point for all questions on federal research and innovation funding
- Enquiry answering service
  - Comprehensive and demand-oriented
  - By phone, e-mail, face-to-face
- Special Advisory Services
  - Corporate Guidance Service for companies to obtain fast-track information on research and innovation funding







### **Information Services**

#### www.foerderinfo.bund.de

 Comprehensive overview of the German funding landscape on research and innovation

#### ► Electronic newsletter

- Pro-active information on calls for proposals in federal funding measures
- Bi-weekly

#### ▶ Fairs & Events

- Booth
- Presentations







# Financing of projects at universities and research organisations

- Basic / operational funding
- Funding by DFG, German Research Foundation
- Regional funding (Länder)
- Support from Foundations
- Project funding of the Federal Government





### What is funded by federal grants?

- Generally, collaborative projects of companies and research organisations at a pre-competitive stage
- Research leading to innovative products, processes or services
- Considerable degree of innovation (reference: current state of technology on international scale)
- Scientific-technological and economical risk
- Solution transferable to other challenges / sectors





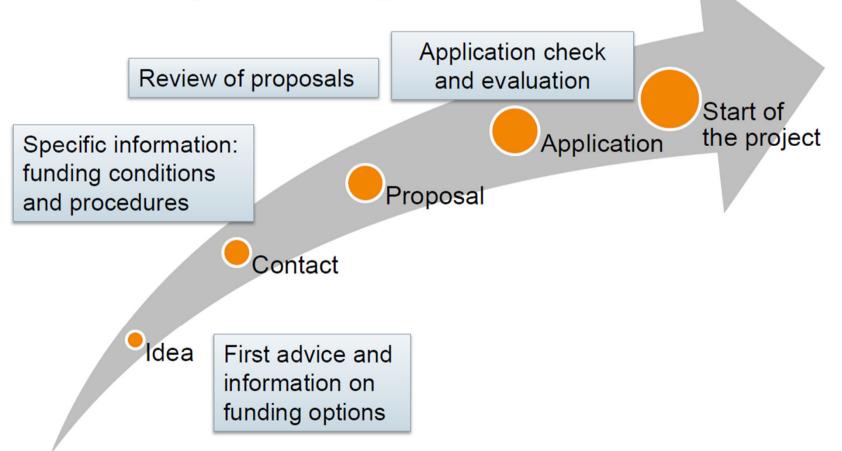
### Who can receive funding?

- ► Generally, institutions of higher education, research institutes, companies established and with research capacity in Germany
- Focus on small and medium-sized enterprises (SME)
- Universities and public research institutes as cooperation partners
- Details in specific calls for proposals as published in the Federal Gazette, as well as general guidelines and administrative regulations





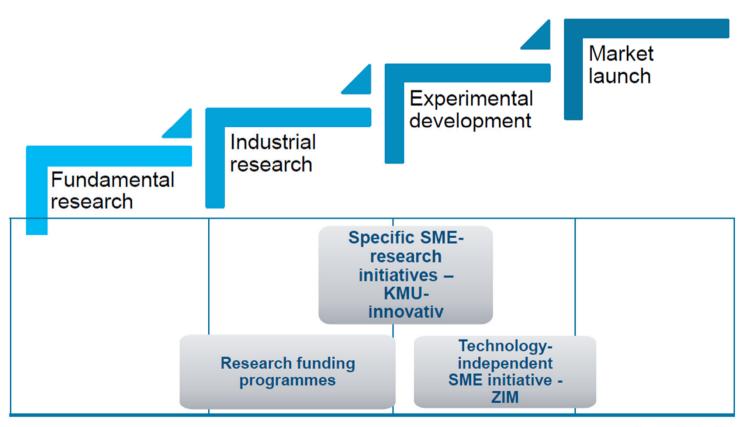
## Your way to funding







# Funding possibilities along the innovation value chain



Source: Federal Funding Advisory Service





### R&D funding programmes - Themes

Research in aviation

Biotechnology

Climate change

Green economy

**ICT** 

Maritime technologies

Energy research

Renewable energies

Social sciences

**Photonics** 

Digital economy

Health research

**Nutrition** 

Innovations for production

Renewable raw material

Sustainable development

New materials

Nanotechnology

Medical technology

Intelligent mobility

Security research





#### How does it work?

#### Calls for proposals / announcements specifying:

- Governmental objectives
- Themes (R&D Topics), Project purpose
- Target groups and beneficiaries (e. g. SME, Enterprises, Universities, Research institutes, Associations)
- Eligible costs, funding rates
- Legal conditions
- Deadlines / cut-off dates, duration





### Current calls for proposals - Examples

22.01.2018 - 15.05.2018

Bekanntmachung | BMBF



#### Forschung an Fachhochschulen (FHprofUnt)

Richtlinie zur Förderung von Forschung an Fachhochschulen mit Unternehmen (FHprofUnt) im Rahmen des Programms "Forschung an Fachhochschulen". BAnz vom 22.01.2018

10.11.2017 - 30.03.2018

Bekanntmachung | BMBF



#### Bildgeführte Diagnostik und Therapie

Richtlinie zur Förderung von Projekten zum Thema "Bildgeführte Diagnostik und Therapie - Neue Wege in der Intervention". BAnz vom 10.11.2017

27.09.2017 - 20.11.2023

Bekanntmachung | BMBF



#### Verbesserung der Explorations- und Integrationsphasen der IKT-Forschung

Richtlinie zur Förderung von Forschungsvorhaben zur Verbesserung der Explorations- und Integrationsphasen der IKT-Forschung. Das Antragsverfahren ist zweistufig. Skizzen können jederzeit eingereicht werden. BAnz vom 26.09.2017







#### **KMU-innovativ**



- Projects of industrial research and experimental development in specific fields (e.g. ICT, biotechnology, material science, production technologies)
- ► Considerable level of innovation and technological / scientific risk
- Research projects with good prospects of application and exploitation



Normally collaborative projects of SME and scientific organisation



▶ 2-stage application process, 2 deadlines p.a. (15 April, 15 October)







### Central Innovation Programme for SMEs (ZIM)

- Open for all technologies and branches
- RnD projects (new products, technological services, improved production processes)
- High market potential
- Application always possible

## Individual projects

(Funding of R&D by one SME)

#### Cooperation projects

(Funding of R&D projects between SMEs or SMEs and RTO)

## Cooperation networks

(Funding of management of innovative company networks and R&D projects generated by them)

Services and consulting related to the market launch of project results

www.zim-bmwi.de





#### Further sources of information

- Federal Funding Advisory Service "Research and Innovation" www.foerderinfo.bund.de https://www.foerderinfo.bund.de/de/bekanntmachungen-53.php
- Förderdatenbank des Bundes (<u>www.foerderdatenbank.de</u>)
- Förderkatalog Overview on funded projects (<u>www.foerderkatalog.de</u>)
- Research funding foundations (<u>www.stifterverband.info</u>)
- Individual grants (<u>www.stipendienlotse.de</u>)

## You have a project idea? Please feel free to contact us.

Federal Funding Advisory Service on Research and Innovation Förderberatung "Forschung und Innovation" des Bundes

e-mail: beratung@foerderinfo.bund.de

www.foerderinfo.bund.de/en

## Next up:

Alexander von Humboldt-Stiftung

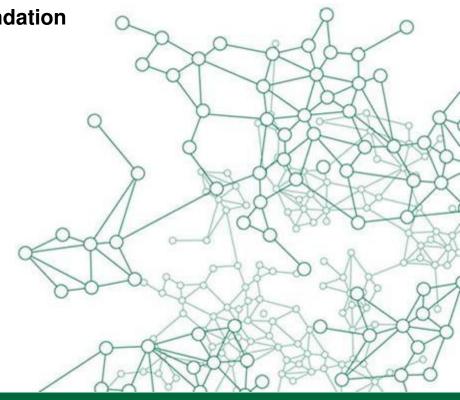


# Exzellenz verbindet – be part of a worldwide network

**Sponsorship Opportunities by the Alexander von Humboldt Foundation** 

Mira Albus Selection Department

Universität Hamburg Tag der Forschungsförderung 20. März 2018





# The Alexander von Humboldt Foundation

Connecting academic excellence worldwide

Knowledge transfer and cooperation at the highest level





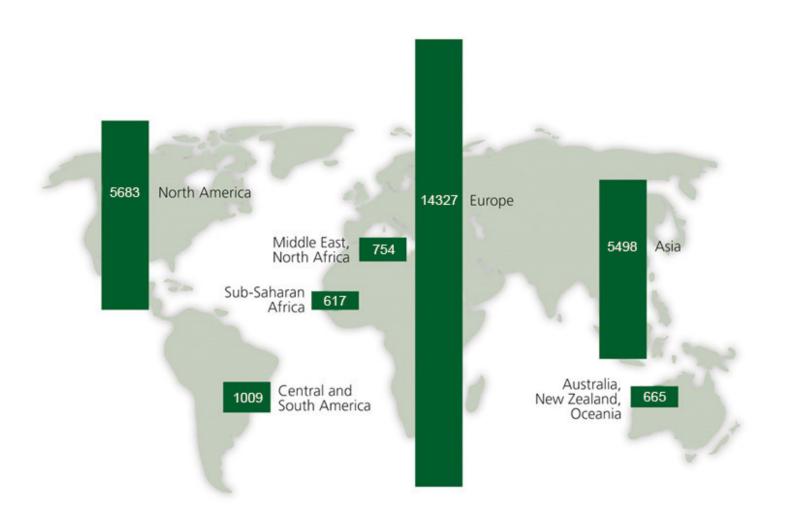
#### The Humboldt Foundation's areas of work

- sponsorship of international academics as a part of foreign cultural and educational policy
- strengthening cutting-edge research through internationalisation
- impetus for the research location Germany by promoting individuals
- advancing development through academic cooperation
- international network of academic cooperation and trust inspired by Humboldt's example



## The Humboldt Network – more than 28,000 Humboldtians worldwide





as of: February 2017



#### **Principles of the Foundation**

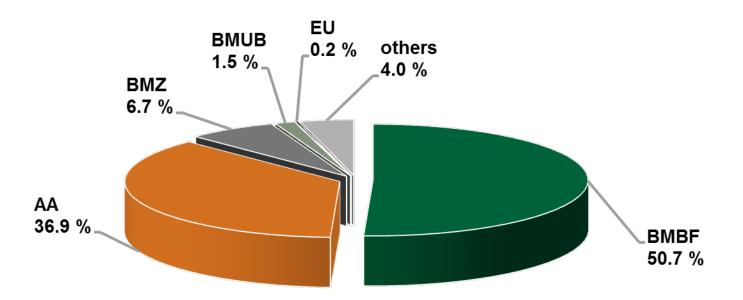
- sole selection criterion: academic excellence
- no quotas for countries or disciplines
- sponsorship of people, not projects
- free choice of academic host/collaborative partner
- independent scientific research, not stipulated by the Foundation
- flexible sponsorship options for different career stages
- individual and personal mentoring for those sponsored and their families





#### The Humboldt Foundation's funding

Budget for 2018\*: approx. €129.9 million Financed by federal funds and the European Union: approx. 96%



AA: Federal Foreign Office

BMBF: Federal Ministry of Education and Research

BMZ: Federal Ministry for Economic Cooperation and Development

BMUB: Federal Ministry for the Environment, Nature Conservation, Building

and Nuclear Safety

<sup>\*</sup> according to first draft of 2018 Budget of 7.4.2017



# **Sponsorship Opportunities offered by the Alexander von Humboldt Foundation**





### Key sponsorship programmes at a glance

	Academics from abroad	Academics from Germany
Postdoctoral researchers	Humboldt Research Fellowship	Feodor Lynen Research     Fellowship
(up to 4 years after completion of doctorate)	Georg Forster Research     Fellowship	
Junior research group leaders	Sofja Kovalevskaja Award	
(up to 6 years after completion of doctorate)		
<b>Experienced researchers</b>	Humboldt Research Fellowship	Feodor Lynen Research     Fellowship
(up to 12 years after completion of doctorate)	<ul> <li>Georg Forster Research</li> <li>Fellowship</li> </ul>	
(up to 18 years after completion of doctorate)	Friedrich Wilhelm Bessel     Research Award	
Internationally renowned academics	Humboldt Research Award	
	Georg Forster Research Award	
	Anneliese Maier Research Award	
	<ul> <li>Max Planck-Humboldt Research Award</li> </ul>	
	<ul> <li>Alexander von Humboldt Professorship</li> </ul>	



### **Research Fellowships**

for exceptionally qualified academics from Germany





#### The Feodor Lynen Fellowship Programme

- fellowships for scientists and scholars from Germany to carry out long-term research at institutes outside Germany
- members of the Humboldt Network abroad as hosts www.humboldt-foundation.de/lynen-search-for-host
- host contribution (negotiated individually)
- worldwide, all disciplines, no quotas



## Research fellowships for research stays abroad



#### **Feodor Lynen Research Fellowships**

	Postdoctoral researchers	Experienced researchers
Duration of sponsorship	• 6 to 24 months	<ul> <li>6 to 18 months, may be divided up into 3 stays</li> </ul>
Application period	<ul> <li>applications at any time, doctorates completed less than 4 years ago</li> </ul>	<ul> <li>applications at any time, doctorates completed less than 12 years ago</li> </ul>

**Fellowship amount**: varies according to destination and personal circumstances/family status

(A fellowship calculator is available on our website)



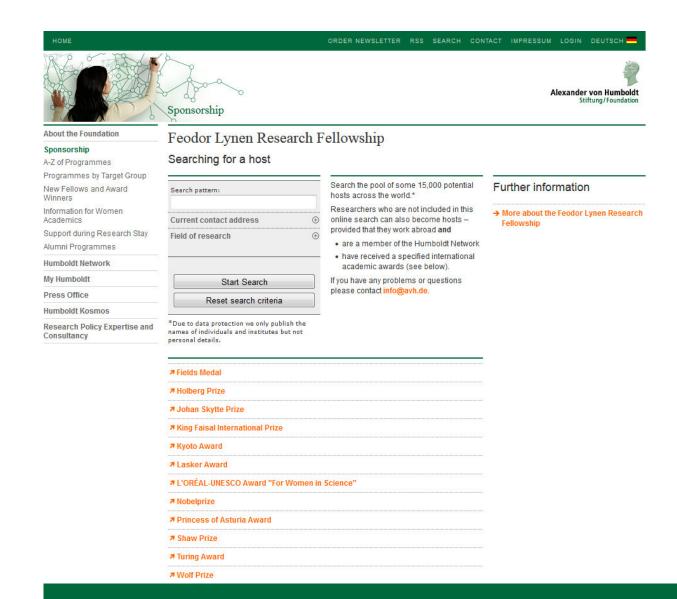
#### Who can be my academic host abroad?

- any researchers who have been sponsored by the Humboldt Foundation
- any hosts who have already supervised researchers who were sponsored by the Humboldt Foundation
- any (former) members of a Humboldt Foundation selection committee
- any participants in the Frontiers of Research Symposia organised by the Humboldt Foundation
- in addition, scientists or scholars who have been awarded one of the selected international research prizes



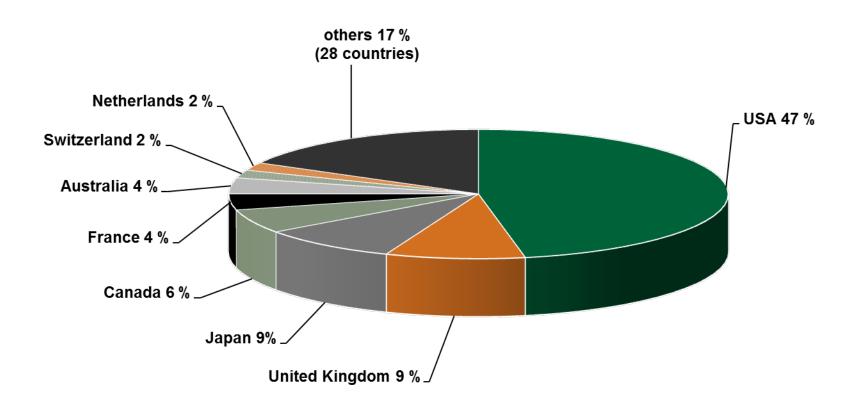


#### How to find an academic host?



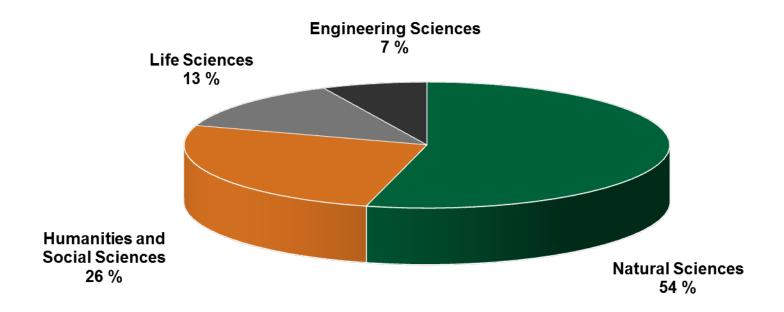
# **Countries of destination of Lynen Fellows 2013–2017**





# **Disciplines of Lynen Fellows** 2013–2017







## Research Fellowships Japan/Taiwan

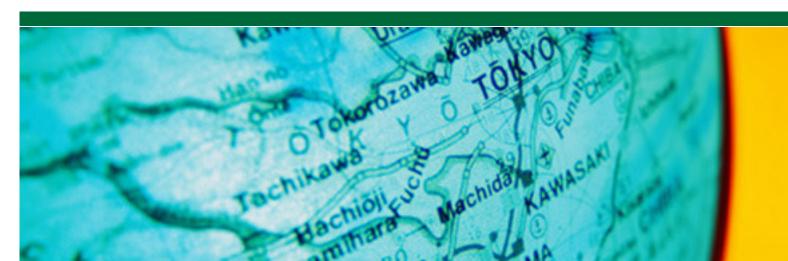
In the framework of the Feodor Lynen Programme, the Humboldt Foundation is responsible for the pre-selection of German postdoctoral researchers for the fellowships of the

### **Japan Society for the Promotion of Science (JSPS)**

research stays lasting 6 to 24 months in Japan and

## Ministry of Science and Technology (MOST)

research stays lasting 3 to 12 months in Taiwan





## Feodor Lynen Research Fellowships: Benefits



## Feodor Lynen Research Fellowship – Benefits provided



- Monthly fellowship rate, foreign allowance, travel lump sum
- Family allowance, child-rearing support
- Intensive and individual mentoring abroad by personal contacts at the Foundation
- Invitation to network meetings, annual meeting
- Feodor Lynen return fellowships
- Alumni sponsorship



### **Benefits for families**



#### **Benefits for families**

 flat-rate child allowance of 400 € per month for the first child and 100 € per month for every additional child under the age of 18 living in the same household as the fellow

#### **Extension of the research fellowship**

 up to 12 months if accompanied abroad by at least one child under the age of 12

#### Allowance for childcare costs

as an alternative to an extension; can be combined





## **Feodor Lynen Return Fellowships**

- cooperation between members of the Humboldt Network abroad and Feodor Lynen Fellows after their return to Germany
- international research projects which are affiliated with an university or non-university research institute in Germany
- fellowship amount: 3,000 EUR per month, duration: maximum of 12 months
- application towards the end of the research stay abroad; starting date: at the latest 12 months after the end of the initial sponsorship period
- invitation of the foreign host to visit the research institute in Germany; willingness to act as Campus Advisor



# Alumni sponsorship and international networking



- more than 28,000 Humboldtians in over 140 countries:
   "Once a Humboldtian always a Humboldtian"
- alumni sponsorship and international networking through e.g.
  - Feodor Lynen return fellowships
  - further research stays abroad
  - invitation of members of the Humboldt Network abroad to the fellow's institute in Germany
  - Annual Meeting in Berlin
  - Humboldt Alumni Associations abroad and in Germany





## Feodor Lynen Research Fellowships: Application Requirements



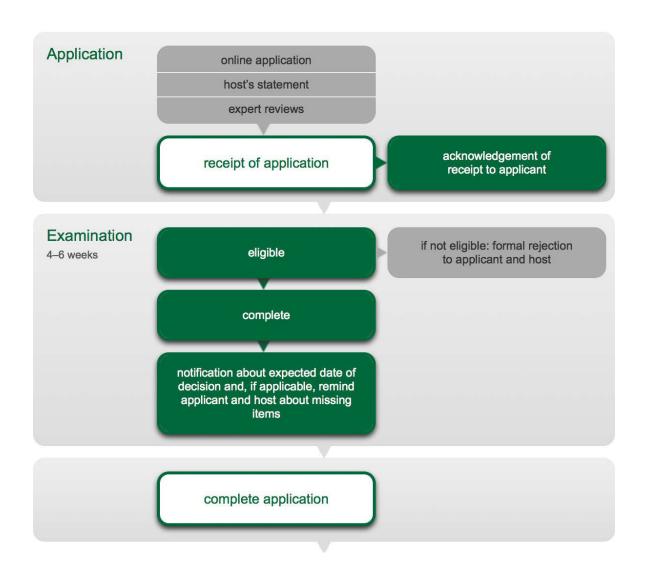


## **Application requirements**

Postdoctoral researchers	Experienced researchers
above average doctorate	<ul> <li>independent academic activity, e.g. as an assistant professor</li> </ul>
<ul> <li>academic publications</li> </ul>	<ul> <li>comprehensive list of academic publications with own, clearly defined academic profile</li> </ul>
<ul> <li>agreement by academic host</li> </ul>	<ul> <li>agreement by academic host</li> </ul>
<ul><li>independent research proposal</li></ul>	<ul><li>independent research proposal</li></ul>
<ul> <li>good knowledge of the language spoken in the host country or at least a very good knowledge of English</li> </ul>	<ul> <li>good knowledge of the language spoken in the host country or at least a very good knowledge of English</li> </ul>

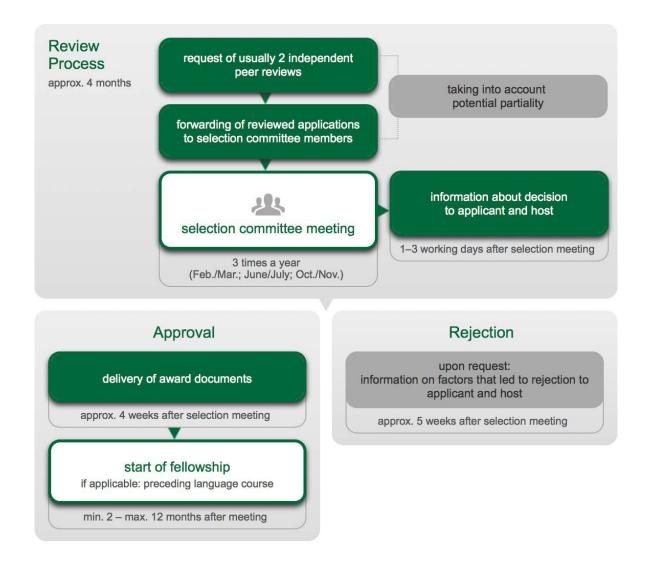


### **Selection Procedure I**





#### **Selection Procedure II**



# Alexander von Humboldt Stiftung/Foundation

## **Application documents to submit:**

- Online application form via AvH website, including:
  - Key publication list
  - Key publications
  - Complete publication list
  - Research outline (to be agreed upon with your host in advance)
  - Doctoral certificate
- > Host statement and confirmation of research facilities
- Two reference letters
  - Postdocs: reference from your doctoral supervisor plus one additional reference
  - Experienced researchers: two references from important collaborative partners, e.g. academics from the candidates own institute and, if possible, from abroad



#### **Assessment criteria for selection**

- academic record and academic performance to date
- quality of key publications
- originality and innovation potential of the suggested research outline
- the applicant's future potential

#### For experienced researchers only:

clearly visible independent research profile





## Hints & Tips for your application





## Hints & Tips 1

- Prepare your application well in advance and calculate with some extra time
- Take your time to find an adequate academic host
- Choose the keywords to define your research topic carefully
- Postdoctoral researchers should ensure that the key findings of their doctoral thesis are already published
- The research outline should not be a direct continuation of the doctoral thesis or of the academic work carried out to date





#### **Experienced researchers should:**

- have sufficiently published in first/corresponding authorship
- clearly demonstrate academic breadth in their research topic(s)
- have shown a clear continued academic development in their career
- be academically independent



#### **Contact**



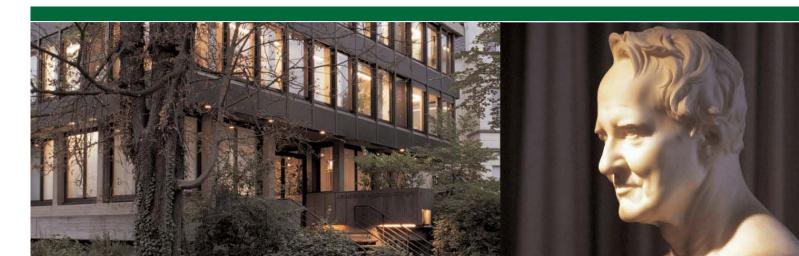
The Alexander von Humboldt Foundation is available to answer your questions at any time:

info@avh.de

www.humboldt-foundation.de

Jean-Paul-Straße 12 53173 Bonn Germany

Tel: +49 228 833-0 Fax: +49 228 833-199



## Next up:

VolkswagenStiftung



# Introducing the Volkswagen Foundation

- Funding Opportunities -

Dr. Astrid Bothmann
Tag der Forschungsförderung
Hamburg
20 March 2018





## Content

- 1. The Foundation at a glance
- 2. Funding structures
- 3. Funding initiatives





## The Foundation at a glance

- Non-profit foundation under private law located in Hanover, Germany
- Established by the Federal Republic of Germany and the State of Lower Saxony
- In May 1961, Foundation's statutes signed

- First funds allocated in February 1962
- Since 1962: more than 30,000 projects supported with approx. 4.75 billion Euro
- Current capital amounting to approx. 3.2 billion Euro

"The Volkswagen Foundation is dedicated to the support of science and technology as well as the humanities and social sciences in research and higher education."

(§2, Statutes of the Volkswagen Foundation)



## **Funding Philosophy**

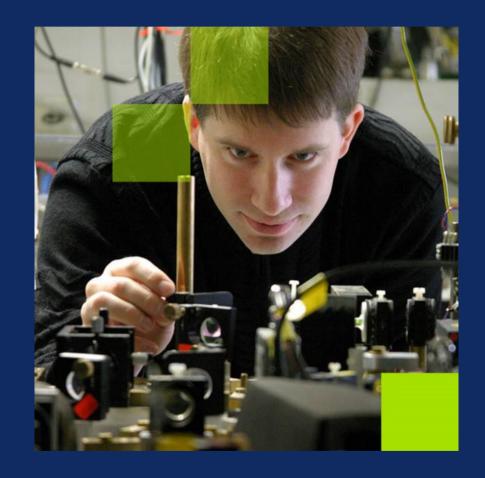
- Providing fresh impetus to higher education and research
- Crossing disciplinary, institutional, and national borders
- Supporting daring as well as highly innovative research
- Improving structures and opportunities for research and higher education
- Focusing on young academics
- Constantly developing new funding initiatives
- Strengthening links between science and the general public

Dr. Astrid Bothmann 27.03.2018 Folie 107



## Content

- 1. The Foundation at a glance
- 2. Funding structures
- 3. Funding initiatives





## **Overview of the Funding Structures**

Persons and Structures

"Niedersächsisches Vorab"

Challenges – For Academia and Society

Off the Beaten Track

**International Funding** 

Communicating Science and Research

Dr. Astrid Bothmann 27.03.2018 Folie 109



## **Current Funding Portfolio**

#### **People and Structures**

#### **■** Freigeist-Fellowships

- Lichtenberg-Professorships
- Lichtenberg-Endowed-Professorships
- Momentum
- Opus Magnum
- University of the Future
- Research in Museums
- Herrenhausen ConferencesIdeas and Concepts
- World Knowledge Structural Support for "Rare Subjects"

#### <u>Challenges – for Acadamia and</u> Society

- Symposia and Summer Schools
- Life? A Fresh Scientific Approach to the Basic Principles of Life
- Experiment! In Search of Bold Research Ideas
- "Original isn't it?" New Options for the Humanities and Cultural Studies
- Artificial Intelligence and the Society of the Future
- Mixed Methods in the Humanities

#### **International Funding**

- Knowledge for Tomorrow –
   Cooperative Research Projects in Sub-Saharan Africa
- Between Europe and the Orient A Focus on Research and Higher Education in/on Central Asia and the Caucasus
- Postdoctoral Fellowships in the Humanities at Universities and Research Institutes in the USA and Canada
- Challenges for Europe
- Global Issues Integrating Different Perspectives on Social Inequality (Summer 2018)

Dr. Astrid Bothmann 27.03.2018 Folie 110



## Content

- 1. The Foundation at a glance
- 2. Funding structure







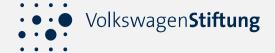
## **Objectives**

- Enabling young researchers to develop their own independent research profile
- Funding of innovative, unconventional, and high-risk topics between established research fields
- Long-term support for starting a successful academic career

#### **Target Group**

- Postdocs of all fields up to 4 years after completion of their PhD
- Change of academic environment mandatory
- Host institution in Germany

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## **Funding Framework**

- Funding of up to five years plus three years extension (optional)
- Personnel costs, stays abroad, equipment, workshops etc.
- Up to € 1 million for the first phase: own position (always), personnel and equipment (flexible use of funds)
- Up to € 400,000 for the second phase
  - in case of positive evaluation and prospect of permanent position -

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#### **Review Process**

- Two steps
  - 1. Comparative review by international and interdisciplinary panel
  - 2. Successful candidates will be invited to present their proposals to the review panel
- Deadlines: 11 October 2018, 10 October 2019
- Decisions: June 2019, June 2020





#### **Contact Details**

**Humanities and Social Sciences** 

Dr. Johanna Brumberg

+49 511 8381-297

brumberg@volkswagenstiftung.de

grewe@volkswagenstiftung.de



Natural Sciences, Engineering, Medicine Dr. Oliver Grewe +49 511 8381-252





# Experiment! – In Search of Bold Research Ideas



- Exploration of radically new research topics ("high risk" approaches) in the natural sciences, engineering, and life sciences
- Funding of projects that
  - challenge and transform accepted wisdom
  - strive to establish counterintuitive hypotheses, unconventional methodologies or technologies
  - stimulate entirely new research directions
- € 120,000 for 1 ½ years; flexible use of funds for personnel and running costs

Dr. Astrid Bothmann



## **Experiment!**



- Short application
  - 3-page application + 1-page self-assessment
- New selection process, fast decision
  - Pre-selection by funding division → shortlist of 120 140 applications
  - Anonymized final selection by international jury  $\rightarrow$  15 20 grants
  - Shortlisted applications enter a lottery → 15 20 grants (test phase)
  - Grant letter or rejection within approx. four months
- Perspectives
  - "Forum Experiment!" one year after grant decision

Deadlines: 1 August 2018, 1 August 2019



## **Experiment!**

#### **Contact Details**

Natural Sciences and Engineering
Dr. Ulrike Bischler
+49 511 8381-350
bischler@volkswagenstiftung.de

Life Sciences and Medicine
Dr. Pavel Dutow
+49 511 8381-396
dutow@volkswagenstiftung.de









## Postdoctoral Fellowships (...) in the USA and Canda

## **Objectives**

- Enabling promising young scholars in the humanities to enhance their international academic profiles
- Strengthening transatlantic cooperation and exchange

## **Target Groups**

- Postdoctoral researchers at universities and research institutes in Germany
- Up to 5 years after completion of PhD
  - Necessity: Applicants need to be employed at a German institution for the duration of the fellowship.

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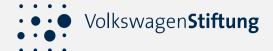
## Postdoctoral Fellowships (...) in the USA and Canada

#### **Funding**

- Stay abroad of 9-12 months at a university or research institute in the USA/Canada (in exceptional cases: up to 18 months)
- personnel expenditure, travel costs, non-personnel expenses
- Stay at a partner university or an institution of own choice in the USA/Canada

Deadline: 4 September 2018

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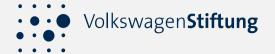
### Postdoctoral Fellowships (...) in the USA and Canada

#### **Contact Details**

Dr. Anika Haverig +49 511 8381-237 haverig@volkswagenstiftung.de



Dr. Astrid Bothmann 27.03.2018 Folie 121



# **Additional Opportunities**

- Grantee meetings, status symposia, forums
- Qualification measures:
  - Media Training
  - Workshop series "Professionals in Science"
- Invitations to subject-related events

Dr. Astrid Bothmann 27.03.2018 Folie 122



# Thank you!

Dr. Astrid Bothmann

+49 511 8381 212 bothmann@volkswagenstiftung.de

www.volkswagenstiftung.de

# **Urban Footprints**



Towards Greater Accountability in the Governance of Cities' Carbon and Material Flows









# Next up:

DAAD – Deutscher Akademischer Austauschdienst (German Academic Exchange Service)

# **DAAD Funding Opportunities for Postdocs**



#### **Overview**

The DAAD – Who we are and what we do

Funding Programmes for Postdocs

Scholarship Database



#### **Overview**

The DAAD – Who we are and what we do

Funding Programmes for Postdocs

Scholarship Database



### The German Academic Exchange Service (DAAD) is ...

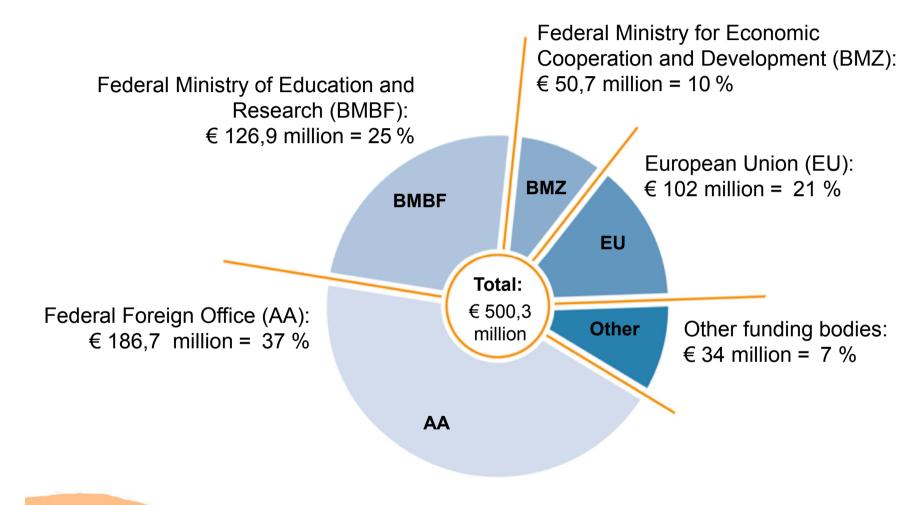
# A self-governing organisation of German universities:

- 238 member universities
- 107 student bodies





### **Budget 2016**





#### Goals and tasks of the DAAD

#### Three strategic areas of activity

STRUCTURES FOR INTERNATIONALISATION

SCHOLARSHIPS FOR THE BEST

#### **Grant scholarships to the best**

 so that the professionals and leaders of tomorrow can gain qualifications at the best locations, prepare for positions of responsibility and cultivate contacts throughout the world.

ACADEMIC
COLLABORATION

#### Create structures that promote internationalisation

- so that higher education institutions can improve the quality of research and instruction and address the challenges of the future with strong partners.
- so that more people can cross borders and achieve success in study and research.
- so that German remains an important language of culture and scholarship.
- so that higher education institutions may contribute to development and build bridges to surmount conflicts.

#### Offer expertise for academic collaboration

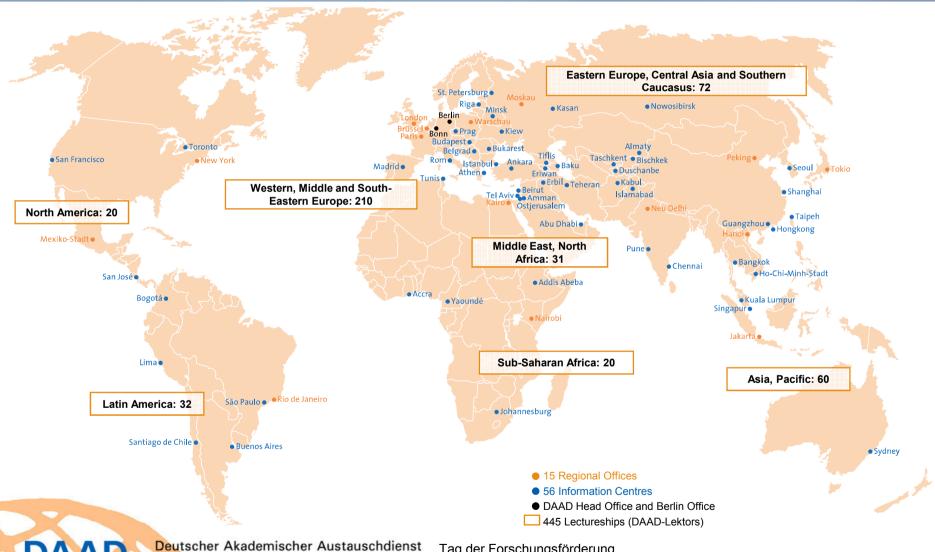
 so that academic and political leaders are able to make well-informed policy decisions.



Deutscher Akademischer Austauschdienst German Academic Exchange Service

Tag der Forschungsförderung Universität Hamburg, 20.03.2018 Tim Maschuw, DAAD, Ref. ST43

# DAAD worldwide: Regional Offices, Information Centres and Lectureships (DAAD-Lektors)



DAAD

Deutscher Akademischer Austauschdiens
German Academic Exchange Service

Tag der Forschungsförderung Universität Hamburg, 20.03.2018 Tim Maschuw, DAAD, Ref. ST43

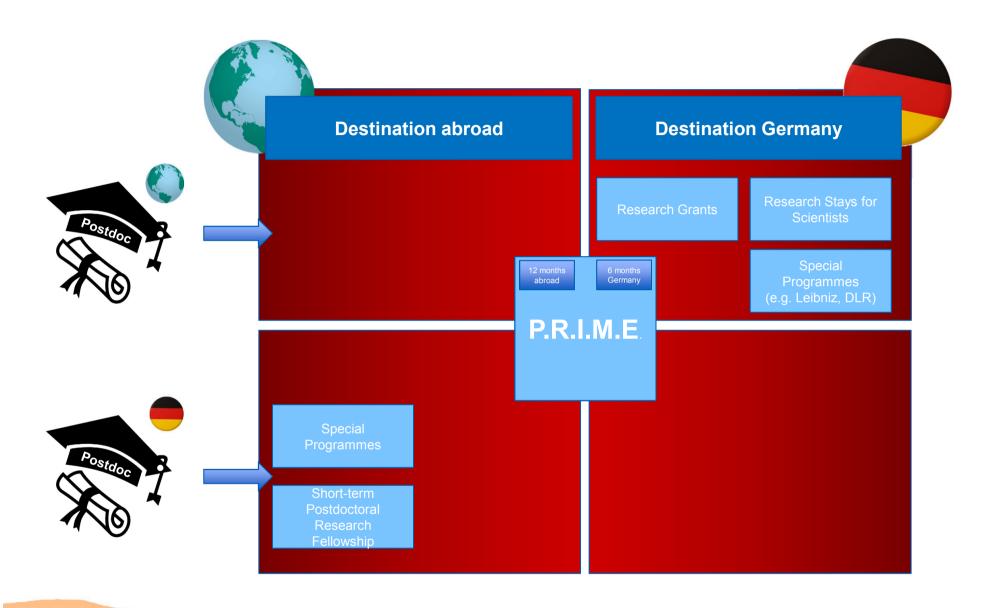
#### **Overview**

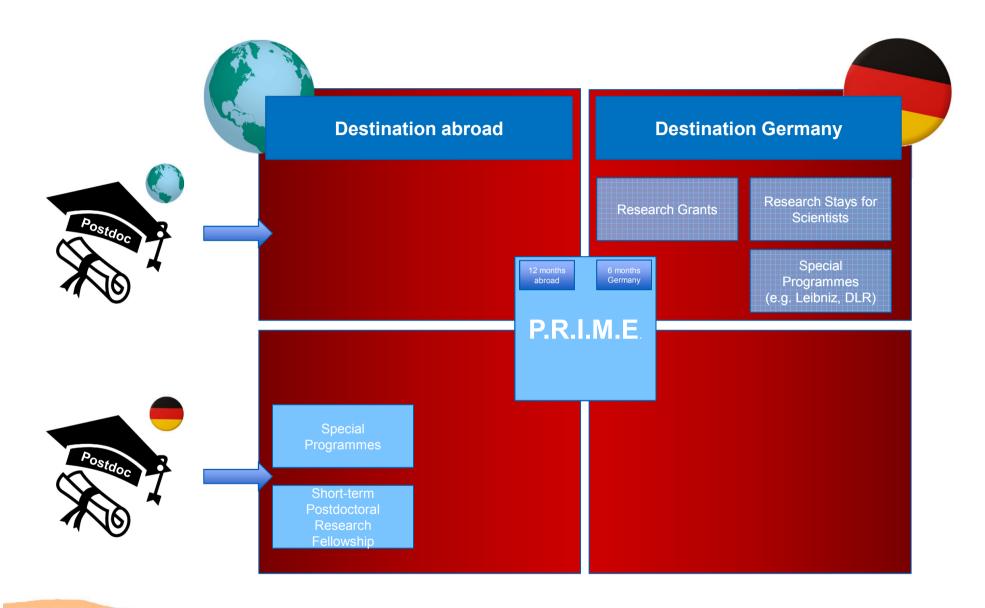
The DAAD – Who we are and what we do

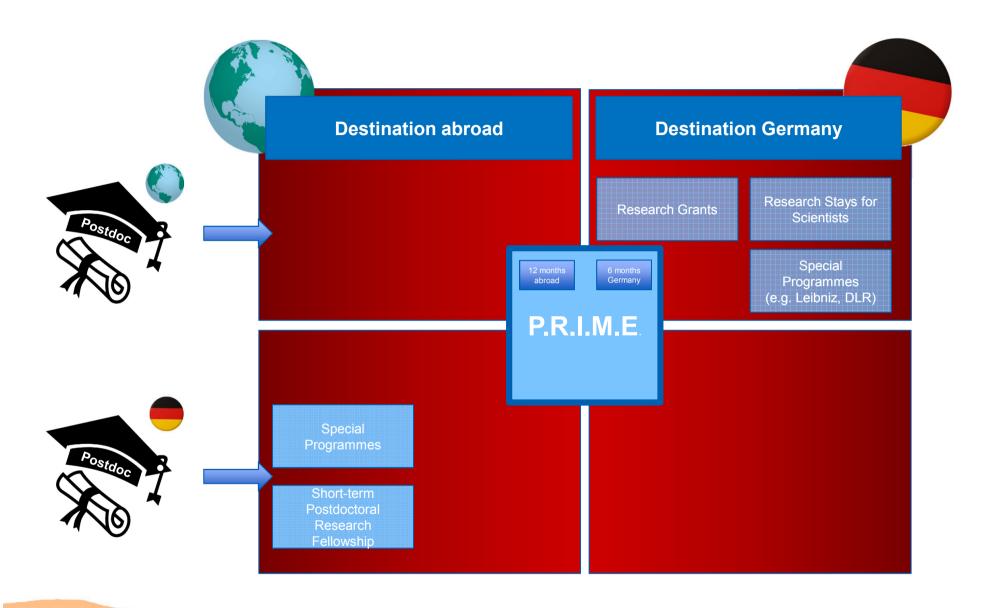
Funding Programmes for Postdocs

Scholarship Database











# P.R.I.M.E. – Postdoctoral Researchers International Mobility Experience





This project has received funding from the European Union's Seventh Framework Programme for research, technological development and demonstration under grant agreement no 605728

- Funding of postdoctoral outgoing mobility based on employment/position instead of stipend
- Combination of outgoing and incoming phase: 12+6

18-months work contract at German university

Research stay abroad (12 months)

Return phase (6 months)

alternative funding cycle possible: 1+12+5



#### P.R.I.M.E. – Overview

### Funding conditions:

- All nationalities (independent of country of residence)
- All subject areas
- No age restrictions
- All destinations (compliance with "mobility rule")
- Funding administered by employing German university
- Salary (with social security benefits)
- Expatriate allowance (depends on destination and salary)
- Direct DAAD component: Travel allowance

### P.R.I.M.E. – Employment

"Offering a proper work contract and social insurance really sets the PRIME programme apart. It is a constant frustration in postdoctoral life to be denied work contracts and social insurance, and one that creates considerable resentment towards the funding system and the university in general, as ultimately it abusively takes advantage of people's enthusiasm for their work. The system of scholarships should be replaced by one based on proper work contracts with social insurance as much as at all possible. The DAAD's initiative really has to be most highly recommended."

Comment from P.R.I.M.E. fellow, Feedback Survey, Jan. 2018

### P.R.I.M.E. – Employment

### Employment/Social security:

- Employment in Germany; also during mobility phase abroad ("Entsendung")
- Social-security coverage throughout entire fellowship duration
- Pension scheme entitlements
- Possibility of parental leave, part-time work
- As employed researchers: Fellows on equal footing with other scientists at German host institute
- Re-integration
- re-integration phase as mandatory part of the programme



#### P.R.I.M.E. – Selection Criteria

- Academic qualification (grades, study duration, letters of recommendation etc.)
- Publications (number, quality/impact, first authorships)
- Project (scientific quality, realistic planning, feasibility)
- Suitability of host institutions
- Other achievements:
- extra-curricular activities, social commitment;
- international mobility; interdisciplinary or intersectoral experience
- personal circumstances: e.g. unavoidable delays in academic development due to child care



#### P.R.I.M.E. – Selection process

- Reviews by external experts usually 2 or more
- > 2017: 294 applications, 260 entered review process
- Selection Committee Meeting
- > 2017: 34 professors from 6 countries
- Final ranking;
- Success rate 2017: ~10 %
- Communication of results
- approval or rejection letters
- anonymized reviewers' comments provided



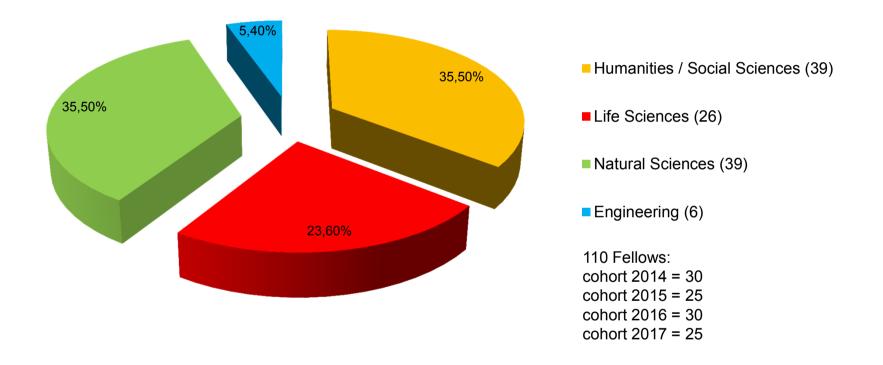
#### P.R.I.M.E. – Future plans

### 2018 application cycle

- Call for applications: 1 May 2018
- Application deadline: 31 August 2018
- 2018 cohort will be funded by BMBF only
- What are our future plans?
- more fellowships: 120 (6 x 20)
- shift from 1 to 2 application cycles per year
- longer funding duration/more flexibility for research stay abroad: 12-18 months abroad + 6 months in Germany
- New proposal for EU funding: H2020/MSCA/COFUND/2018

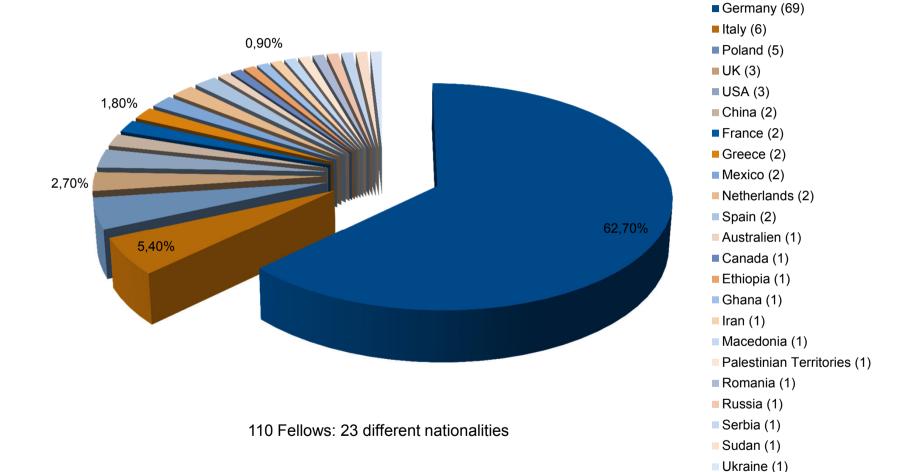


# P.R.I.M.E. – Fellows by subject area, 2014 - 2017



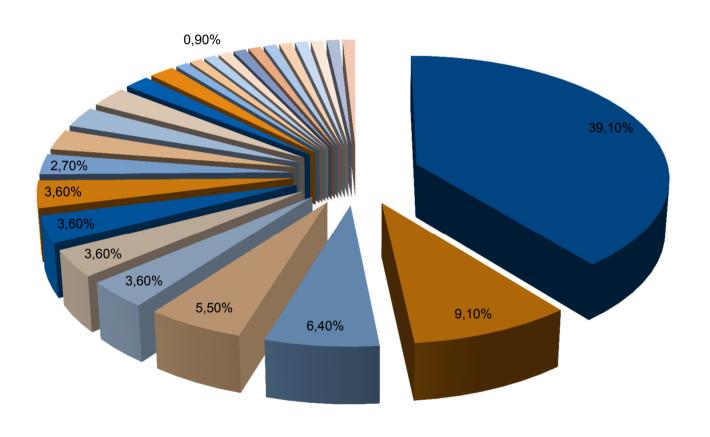


# P.R.I.M.E. – Fellows by nationality, 2014 - 2017





### P.R.I.M.E. – Fellows by country of destination, 2014 - 2017



110 Fellows: 25 different destinations



Deutscher Akademischer Austauschdienst German Academic Exchange Service

Tag der Forschungsförderung Universität Hamburg, 20.03.2018 Tim Maschuw, DAAD, Ref. ST43

- ■USA (43)
- ■UK (10)
- Austria (7)
- France (6)
- Australia (4)
- Canada (4)
- Mexico(4)
- Switzerland (4)
- ■Belgium(3)
- Netherlands (3)
- Russia (3)
- Spain (3)
- Italy (2)
- ■Portugal (2)
- China/HK (1)
- Czech Republic (1)
- Denmark (1)
- Greece (1)
- Ireland (1)
- Japan (1)
- ■Kenya (1)
- ■Mali (1)
- Morocco (1)
- New Zealand (1)
- Norway (1)
- Poland (1)

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### P.R.I.M.E. Fellows: Career Development

- Dr. Ali Aslan Gümüsay
- DPhil at Saïd Business School, University of Oxford
- Lecturer at Magdalen College
- P.R.I.M.E. Fellowship:
   University of Hamburg and
   Vienna University of Economics & Business
- Research focus: Organization Theory, Entrepreneurship, Leadership & Business Ethics
- Guemuesay.com @guemuesay



### **Application Process**

#### Content

- Focus: Values and Meaning in Organizations & Society
- Theory: Institutional Complexity & Organizational Hybridity
- Case: The Formation of the 1<sup>st</sup> Islamic Bank in Germany

#### Reviews

- Academic performance
- Research quality (theory, method, data)
- Fit
- Innovativeness



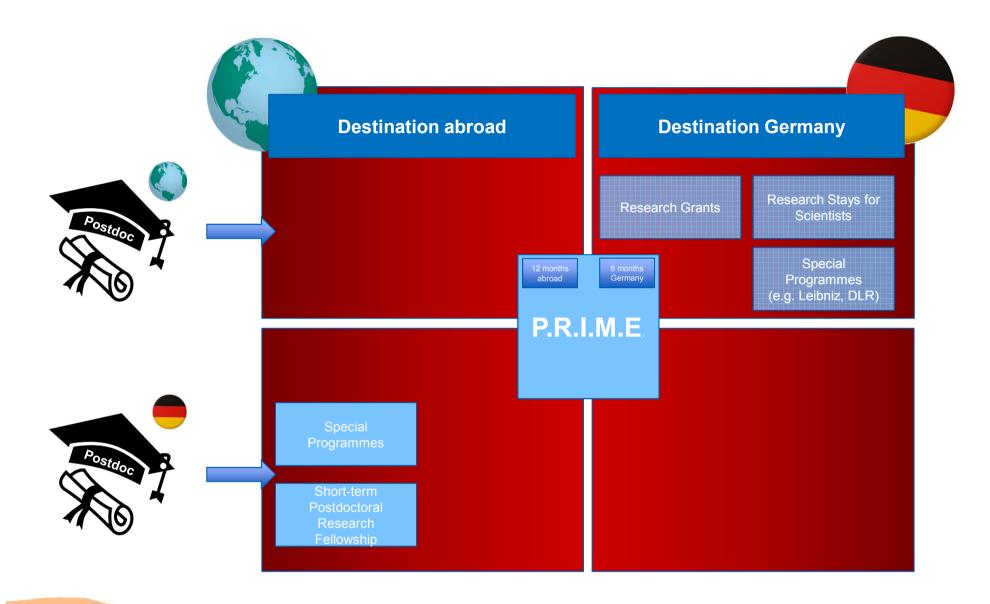
## The Fellowship

# Opportunities

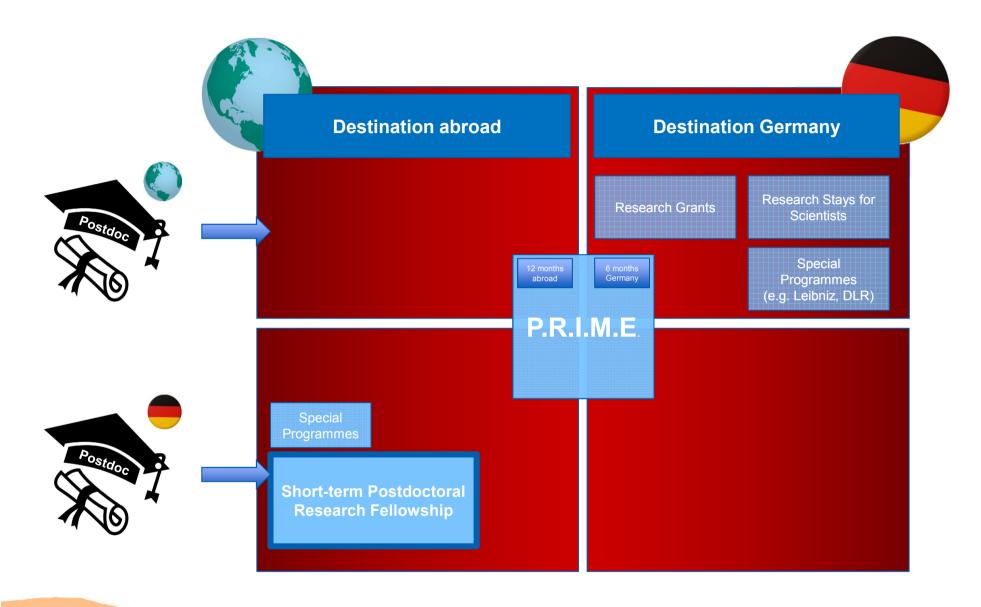
- Opens doors
- Offers time to focus on research & getting publications out
- Experience abroad (often criteria for funding/promotion)
- Experience 2 academic institutions

# Potential concerns

- Notification of acceptance
- Duration
- Research funding









#### **Postdoc Short-Term Research Fellowships**

#### Goal: further academic qualification

- open to all disciplines
- open to all destinations
- research stays in several countries must be combined within one application
- 1-6 months short-term scholarship for German early career scientists holding a Ph.D. (long-term scholarships suspended)
- pursuit of independent research project at a research institution of your choice



# Postdoc Short-Term Research Fellowships – DAAD support

### **Financial support**

- Basic scholarship rate
- Expatriate allowance, buying rate differential
- Allowance for partner + children/childcare\*
- Child allowance for children under 12 years
  - > 400 Euro per month for the first child
  - > 100 Euro per month for any additional child

#### If the scholar is accompanied by his/her child:

➤ Coverage of the monthly costs for child care up to the basic scholarship rate (1.750 €).



# Postdoc Short-Term Research Fellowships – DAAD support / Examples

■ USA, New York single



basic rate € 1.750
expatriate allowance € 1.216
buying rate diff. € 267
research allowance € 250

**Total:** € 3.483

Japan, Tokyo married, 1 child



basic rate € 1.750expatriate allowance € 2.315child support € 400buying rate diff. € 610research allowance € 250

Total: € 5.325



# Postdoc Short-Term Research Fellowships – Applications

- Application for short-term fellowships (3 to 6 months):
- usually three selection cycles per year(expect at least three months for the selection process)

	<u>Deadline</u>	Selection	Starting date
>	15 November	February	April
	15 March	June	August
>	15 June	October	December



# Postdoc Short-Term Research Fellowships – Application Documents

- **✓** Application form
- **√CV**
- **✓ Publication list**
- ✓ Most important publications (up to 3)
- **✓** Summary of dissertation
- √ Research project incl. time schedule
- ✓ Letter of invitation from foreign host
- √ Two letters of recommendation from professors of a (German) university
- **✓** Copies of Transcripts / Degree Certificates
- ✓ Language certificate

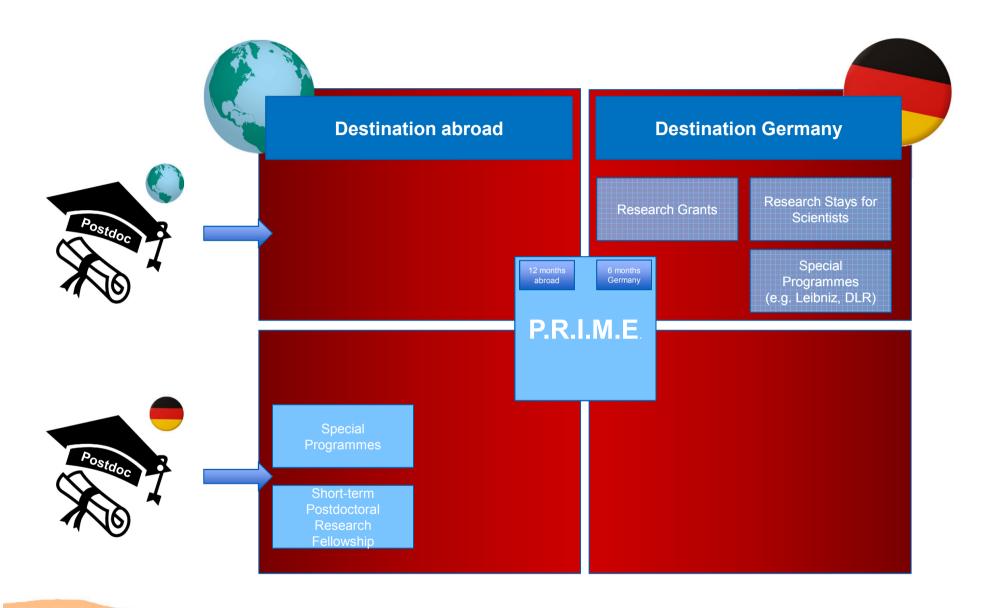


## Postdoc Research Fellowships – Selection Criteria

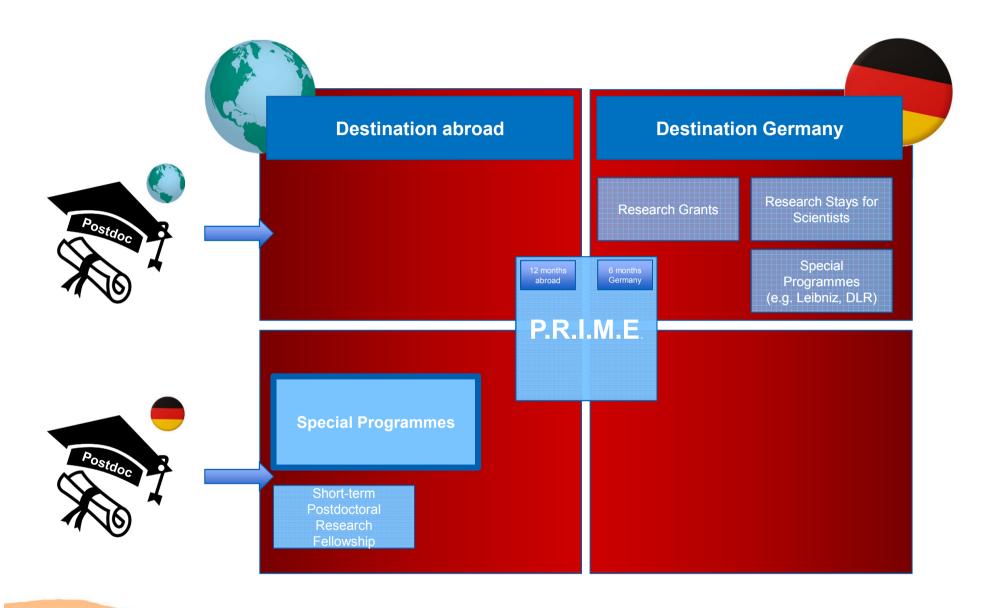
- Academic qualification (Ph.D. magna cum laude)
- Publications (number, impact, first authorships)
- Project (scientific quality, realistic planning, feasibility)
- The "Fit" (project, institution, career plans)
- Personality, extra-curricular activities

www.daad.de/postdoc









## **Congress Participation and Invited Lectures**

Who?

> The program is open to Ph.D. students, postdocs, senior scientists, faculty (incl. retired)

Where?

travel abroad to international conferences or invited lectures

What?

- > travel allowances, depending on country
- daily allowance for board and accommodation
- conference fees (early bird rate)

**Preconditions** 

- active participation
- lecture or poster

**Duration** 

- maximum of eight conference days
- maximum of ten days in case of invited lecturers



## **Congress Participation and Invited Lectures**

**Eligibility** 

junior researchers: every year

senior researchers: every second year

**Numbers** 

applications: ~ 5,000 per year

> accepted: ~ 2,500

> success rate: 1:2

**Application** 

no later than 1 month prior to departure for invited lectures

no later than <u>4 months</u> before conference starts

www.daad.de/kongressreisen



Deutscher Akademischer Austauschdienst German Academic Exchange Service

Tag der Forschungsförderung Universität Hamburg, 20.03.2018 Tim Maschuw, DAAD, Ref. ST43 Lichtenscheidt/DAAD

### **Overview**

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Scholarship Database



## **DAAD Scholarship Database**

Startseite

Infos für Ausländer

Infos für Deutsche

Infos für Hochschulen

Länderinformationen

Der DAAD

#### » Studieren im Ausland

Planung & Bewerbung | Studieren & Leben | Stipendien & Finanzen | Noch kein Student?

#### » Lehren im Ausland

DAAD-Lektorenprogramm | IC-Lektorenprogramm |
Ortslektorenprogramm | Dozenturen |
DAAD-Sprachassistentenprogramm

#### » Praktika im Ausland

Vermittlung und Information | Stipendien und Finanzen | Literatur

#### » Stipendien finden und bewerben

#### » Sprachen lernen

Sprachkurse an ausländischen Hochschulen | Sprachen und Sprachtests | Weitere Sprachkurse weltweit

#### » Reintegration

Rückkehrstipendien | Hilfestellung bei der Rückkehr | Presse

#### » Service und Kontakt

Veranstaltungen | Link-Tipps | Literatur | Downloads | Noch Fragen?

#### » Alumni

Alumni-Vereine | Servicebereich | Lª DAAD-Stiftung |
Lª DAAD-Freundeskreis | DAAD-Lektoren



Lektorate, Dozenturen & Sprachassistenzen



Länderinformationen





## **DAAD Scholarship Database**

#### Stipendien finden

Informationen zu den Fördermöglichkeiten des DAAD für deutsche Studierende, Graduierte, Doktoranden, Promovierte und Hochschullehrer.







## Thank you for your attention.

Tim Maschuw Section ST43, Research Fellowship Programmes +49 (0) 228/882-8705, maschuw@daad.de

Deutscher Akademischer Austauschdienst (DAAD) German Academic Exchange Service Kennedyallee 50 53175 Bonn





www.daad.de

# Exchange Involving Projects - PPP (Programm zum Projektbezogenen Personenaustausch)

# Goal: Intensify cooperation between international and German research groups

## Programme:

- for universities and non-university research institutions
- bilateral programme agreements with 29 partner countries

## Disciplines:

varies, depending on specific programme agreement

## Eligibility:

research groups: postdocs, senior scientists, professors can apply for their institution



## **Exchange Involving Projects - PPP** (Programm zum Projektbezogenen Personenaustausch)

## Funding:

- M.A./Ph.D. students, postdocs, senior scientists
- special emphasis lies on the support of young scholars, i.e. graduate students working on their thesis, Ph.D. students and postdocs

#### **Duration:**

- total: usually up to 2 years with possible extension for a third year (depending on the country)
- per stay: usually 1 month, with some exceptions

#### Remark:

funding for project itself must be guaranteed by other www.daad.de/ppp sources



## FITweltweit – Scholarships for Computer Scientists

## Goal: increase interest among computer scientists in a scientific career and international collaboration

## Programme supports research stays abroad:

- Master/Ph.D. students
- short-term scholarships,1-6 months
- worldwide: universities, non-university research institutions, industry laboratories
- no tuition fees covered

- Postdocs
- short- and long-term scholarships,3-24 months
- Berkeley (ICSI) or Tokyo (NII)





special support for re-integration





## JSPS Postdoctoral Fellowship Programme

# Scholarships for early career scientists who want to pursue a research project in Japan

- Target group
- Postdocs and Ph.D. students of all disciplines
- Scholarships
- (short-term) research stays in Japan
- Duration:
- > Ph.D. students: 1-12 months
- Postdocs: 1-6 months (7-12 months: Humboldt Foundation)

## Next up:

KoWi – Kooperationsstelle EU der Wissenschaftsorganisationen (European Liasion Office of the German Research Organisations)



# **EU - Funding opportunities for PostDocs:**

Marie Skłodowska-Curie Fellowships and ERC Starting Grants

Dominik Maas



## KoWi – EU Liaison Office of the German Research Organisations



information, consulting & training on EU research & innovation funding

"one-stop-shop" for Horizon 2020



service platform: support
the participation
of researchers and
German
universities & research
organisations
in the EU Framework
Programme

financed by the German Research Foundation (DFG)

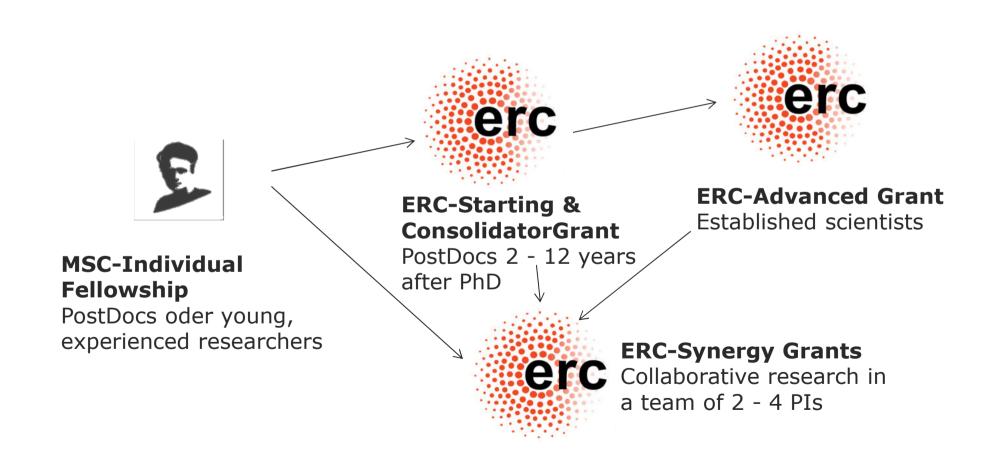


connected on European level

offices in Bonn & Brussels



## Funding pathways in Horizon2020







## Marie Skłodowska-Curie Actions



## Horizon 2020 – Rahmenprogramm für Forschung und Innovation

#### Part I – Excellent Science

- 1. European Research Council (ERC)
  - 2. Future and Emerging Technologies (FET)

#### 3. Marie Skłodowska-Curie Actions (MSCA)

European Research
Infrastructures, including
e-infrastructures

#### Tart II -Industrial Leadership

- Leadership in Enabling & Industrial Technologies (LEIT)
- Information andCommunication TechnologiesNanotechnologies, Advanced
  - Materials, Advanced

    Manufacturing and Processing and Biotechnology
- Space
  - 2. Access to Risk Finance
    - 3. Innovation in SMEs

#### Part III -Societal Challenges

- Health, demographic change and wellbeing
- Food security, sustainable agriculture, marine, maritime and inland water research and the bioeconomy
- Secure, clean and efficient energy
- Smart, green and integrated transport
- Climate action, environment, resource efficiency and raw materials
- 6. Inclusive, innovative and reflective societies
- 7. Protecting freedom and security

Part IV
Spreading excellence & widening participation

Part V Science with & for Society

Non-nuclear direct actions of the Joint Research Centre (JRC)

The European
Institute of Innovation
and Technology (EIT)



# What does Marie Skłodowska-Curie offer for postdocs?

- Funding of a postdoc position in a European country (MS/AC) for up to 2 years, also for researchers from third countries
  - □ Individual European Fellowships
- Funding of a postdoc position in a Third Country for up to 2 years including a mandatory reintegration phase (return to MS/AC) of 1 year
  - □ Individual Global Fellowships



## Marie Skłodowska-Curie

## Individual Fellowships





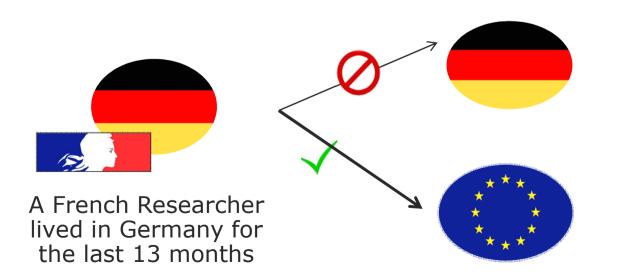
Global Fellowship

European Fellowship



## Marie Skłodowska-Curie Mobility Rule

Fellow has lived and worked ≤ 12 months within the last 3 years in the potential host country.



Not eligible for a Fellowship in Germany!

Eligible for a Fellowship in France and other countries



## European Fellowships (EF)

Research project in Europe (EU-Member State or FP Associated Country)

Eligible	Postdocs or researchers with at least 4 years of research experience		
Nationality	No restrictions		
Mobility rule	May not have lived/worked more than 12 months within the last 3 years in country of the Host Institution		
Secondments	<ul> <li>Optional for 3 to 6 months in the academic or non-academic sector in Europe</li> <li>Can be split (e. g. 2 x 3 months)</li> </ul>		
Project	12 to 24 months (CAR: 36 months)		



## European Fellowships (EF): Multidisciplinary Panels

- CAR Career Restart
  - > Researchers who have not been active in research for at least 12 months within the last 18 months before the call deadline
- RI Reintegration
  - > Researchers **returning to Europe** after a research phase in a Third Country
- SE Society & Enterprise
  - > Applicants with a **non-academic Host Institution**

Fellow has lived or worked ≤ 3 years within the last 5 years in the potential host country



## Global Fellowships (GF)

Research project in a Third Country and Europe (MS/AC)

Eligible	Postdocs or researchers with at least 4 years of research experience		
Nationality	Nationals and long-term residents (must have lived at least 5 years in MS/AC)		
<b>Mobility rule</b>	May not have lived/worked more than 12 months within the last 3 years in country of the Host Institution		
Secondments	<ul> <li>Optional for 3 to 6 months in the academic or non-academic sector in Europe</li> <li>Can be split (e. g. 2 x 3 months)</li> </ul>		
Project	1 – 2 years + 1 year obligatory return phase		



## IF Financing

Living allowance ER(\*country correction coefficient)

- Mobility allowance

- Family allowance (if applicable)

- Research, training and networking costs

- Management & overhead

4,880 x 12 M

600 x 12 M

500 x 12 M

**Project** 

**Fellow** 

800 x 12 M

650 x 12 M

EUR 89,160 /y

Employer's gross e. g. Belgium (\*100 %)

**Total** 

5,980 EUR/M 71,760 EUR/y

e. g. Germany (\*correction co-efficient 97.0 %) = 5,800.60 EUR/M



## How is the IF application process organised?

- Calls for proposals
  - Annually
  - Specific deadline: 12 September 2018
- The proposal
  - Joint submission by the researcher and host institution/supervisor
- Online submission
  - Participant Portal of the European Commission:

ec.europa.eu/research/participants/portal/desktop/en/home.html



# Preparation of the proposal

- Work Programme
- Guide for Applicants
- Templates





## IF Success rates 2016

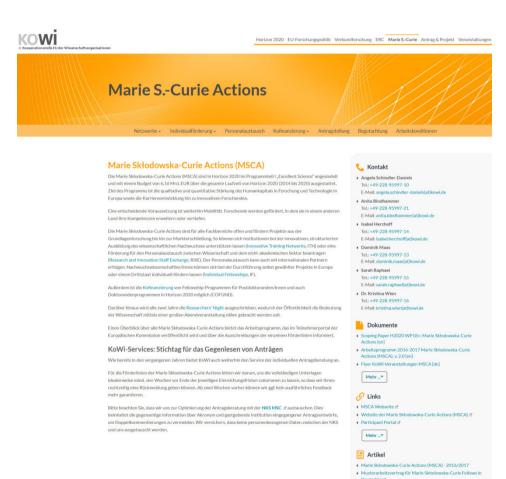




MSCA-IF	Proposals retained for funding	Success rate	
EF (ST)	925	13,1%	
EF (RI)	67	13,4%	
EF (CAR)	31	12,8%	
EF (SE)	48	36,4%	
GF	117	13,1%	



## Information online





#### **Participant Portal**





**KoWi Website** 

**EU Commission** 

**NCP MSC** 



## European Research Council (ERC): Starting Grants





# Horizon 2020 – Framework Programme for Research and Innovation (2014-2020)

Part I – Excellent Science

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- European Research
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## ERC – Underlying principles

- European funding of basic research ("frontier research")
- Development of funding schemes is science-driven (Scientific Council)
- Open to all fields of research: bottom-up, investigatordriven
- Open for researchers of any age and nationality
- ⇒ Scientific excellence is the sole criterion for evaluation!



## **ERC Funding Modalities**

- Funding of individuals: Principal Investigator + project
- Support for researchers to start or consolidate their own independent research team in Europe (MS or AC)
- Funding: 100 % + 25 %
  - All direct project costs: living allowance, travel expenses, consumables, publication costs (incl. Open Access), researchrelated costs, purchase of major equipment (within the limits of depreciation rules)
  - Additional financing of indirect costs: 25 % overhead



## **ERC Funding Schemes**

**Starting** Grant

Consolidator Grant

2 – 7 years after PhD 7 – 12 years after PhD

**Advanced** Grant

10 years track record

**Proof of** Concept

Preparing ideas from ERC Grant for the market **Synergy** Grant

Teams of 2-4 PIs pursuing highly ambitious research goals



## Starting Grants – Overview

Target group	2-7 years after PhD (extensions possible in exceptional cases; special rules for MD)		
Funding	max. EUR 1.5 million for max. 5 years (exception: + EUR 500,000 for major equipment or for PIs from Third Countries)		
<b>Host institution</b>	in EU Member State or Associated Country		
Time commitment	≥ 50% (≥ 50% in Europe)		
Application procedure	single submission of full proposal, two-step evaluation (incl. interview)		
Call	annually		



## Prolonged eligibility

- Calculation of the eligibility time window:
  - Time elapsed between date on the PhD certificate and 1
     January of the call year
  - Prolonged eligibility for maternity/paternity leave
  - Mothers: 18 months for each child born after or before PhD award (or actual amount of maternity leave if longer than 18 months)
  - Fathers: actual documented amount of paternity leave for each child born after or before PhD award
- Other reasons:
  - Documented elapsed time for long-term illnesses (> 90 days), clinical training or national service <u>after</u> PhD



## **Evaluation Criteria Project**

- Ground-breaking research?
- Beyond the state-of-the-art?
- Important challenges?
- Novel concepts?
- Approaches across disciplines?
- High risk/high gain?
- Feasibility:
  - Methodology appropriate?
  - Timescales and resources justified?



## **Evaluation Criteria PI**

- Ability to conduct ground-breaking research?
- Creative independent thinking?
- Achievements beyond the state-of-the-art?
- Commitment to the project?
  - ➤ at least 50% (StG), 40% (CoG), 30% (AdG & SyG) of the total working time on the project
  - > at least 50% in MS or AC
- AdG: Contributions to the careers of young scientists? Leadership in industrial innovation?

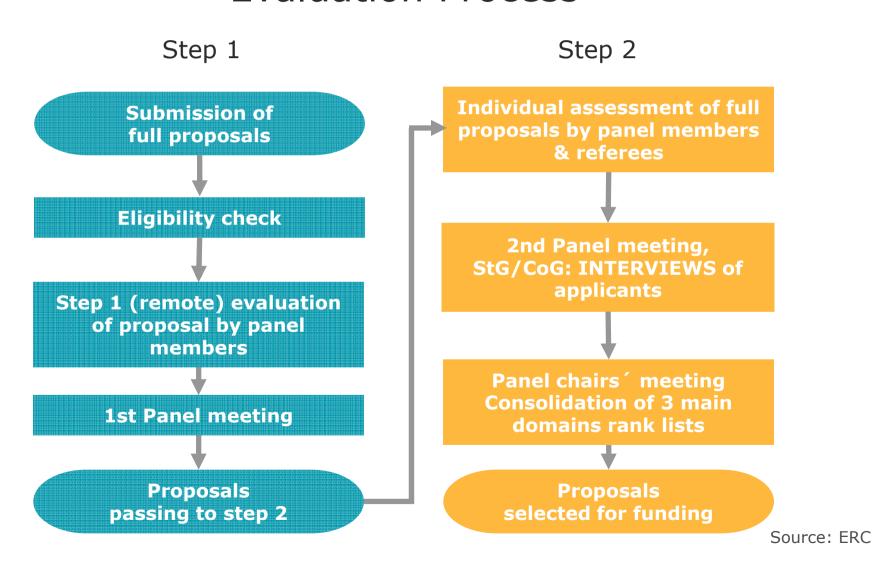


## How is the ERC Application Process Organised?

- Calls for proposals
  - Annually
  - Specific deadline: StG expected in Autumn 2018, CoG expected in early 2019
- The proposal
  - submitted by the PI
  - together with a Host Institution (Host Support Letter)
- Online submission
  - Participant Portal of the European Commission:
    ec.europa.eu/research/participants/portal/desktop/en/home.html



## **Evaluation Process**





## Important Documents

- ERC Work Programme
- ERC Rules for Submission and Evaluation
- Information for Applicants
- Research Proposal Template





## ERC Success rates 2014-2017

Call	2014	2015	2016	2017
Starting Grants	11,5 %	12 %	11 %	13,3 %
Consolidator Grants	15 %	15 %	13,8 %	13,2 %
Advanced Grants	8,3 %	14 %	9,6 %	%



## Calls for Proposals 2018

Publication of Work Programme: 2. August 2017

Funding line	Reference date	Opening	Deadline	Budget (Million EUR)
Starting Grants	1 Jan 2018	3 Aug 2017	17 Oct 2017	583
Consolidator Grants	1 Jan 2018 2019 1 Jan 2018	24 Oct 2017	15 Feb 2018	553
Advanced Grants		17 May 2018	30 Aug 2018	450
Synergy Grants		3 Aug 2017	14 Nov 2017	250
Proof of Concept		6 Sept 2017	16 Jan 2018 18 Apr 2018 11 Sept 2018	20



## **ERC & MSCA Services at KoWi**

- Individual consulting and supervision for applicants
- Homepage on the funding schemes
  - MSCA: www.kowi.de/marie-curie
  - ERC: www.nks-erc.de
- MSCA Host Offers: www.kowi.de/msca-host-offers
- Newsletter ("Aktiver Informationsdienst", AiD)
- Information workshops at German universities and research organisations
- Consulting on financial and project management



## Contact



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